# CRISIS STANDARDS OF CARE



# Mississippi State Department of Health Office of Emergency Planning and Response

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### INTRODUCTION

Mississippi has previous experience with a devastated health system. The aftermath of Hurricane Katrina in 2005 presented challenges in a shortage of the resources required to have a functioning healthcare delivery system.

During the 2015 Ebola Virus Disease event, it was necessary to review the state's capacity to respond to new and/or re-emerging infectious disease outbreaks in order to establish an updated mechanism for providing care under the current standards of care with limited availability of appropriate personal protective equipment to protect healthcare providers. In light of these threats to public health and our experience with both real and potential catastrophic events, it was recommended that a more formal review of current best practices be conducted and a guidance document be developed to address the issue from an all-hazards perspective.

The Mississippi Pandemic Influenza Steering Committee, sponsored by the Mississippi State Department of Health (MSDH), took the initiative during the H1N1 virus event to begin a review of current literature and evidence-based practices relating to the need to respond to a public health emergency in which thousands of Mississippians would seek and require medical care.

### **PURPOSE**

The purpose of the MSDH Crisis Standard of Care (CSC) guidelines is to provide a clinical framework for emergency medical services, healthcare systems, and facilities to plan, prepare for and respond to emergencies which present in resource limited environments. In addition, this document provides a guide for making informed decisions based on the premise of the CSC which is to do the greatest good for the greatest number of persons. The overarching goal is to achieve the most advantageous allocation of patient care during all phases of a public health or medical emergency. Adaptation of the MSDH CSC guidelines will empower clinicians at the point of care during emergencies and allow for more informed decision making.

### **SCOPE**

It is the intention of the MSDH CSC document to provide background and planning guidance for developing a consistent approach to understanding the circumstances, indicators and triggers that could result in the need to implement altered standards of care, whether the precipitating event is the result of an act of bioterrorism, public health or medical emergency involving large numbers of victims, a mass casualty event (MCE), or inadequate resources available to maintain the current standard of care.

While the initial focus of development of the MSDH CSC guidance was a pandemic influenza response plan, the guidelines outlined are consistent ethical approaches to catastrophic events of any nature where it would become necessary to allocate scarce resources. Making optimal decisions concerning the allocation of scarce resources is

essential in determining the degree to which healthcare systems continue to function. Ultimately it could mean saving many thousands of lives. (Phillips SJ, Knebel A, eds. Mass Medical Care with Scarce Resources: A Community Planning Guide (2007).

### **CONCEPT OF OPERATIONS**

When an emergency declaration is made, it changes the legal environment and enables specific legal and regulatory powers and protections for public health and healthcare providers concerning their actions and omissions associated with allocating and utilizing scarce medical resources and implementing crisis standards of care (CSC). This guidance provides a delineated continuum of care from normal operations to eventual CSC. The continuum involves the scarcity of all other resource options until it is no longer feasible to provide normal care, including strategies to reduce demand, optimize existing resources, and augment existing resources.

Core ethical precepts in medicine permit some actions during crisis situations that would not be acceptable under ordinary circumstances, such as implementing resource allocation protocols that could preclude the use of certain resources on some patients when others would derive greater benefit from them. Healthcare professionals are obligated always to provide the best care they reasonably can to each patient in their care, including during crises. When resource scarcity reaches catastrophic levels, clinicians are ethically justified and are ethically obligated to use the available resources to sustain life and well-being to the greatest extent possible.

The final determination as to the applicability of the information contained in the classified Mississippi State Department of Health (MSDH) CSC planning document is very dynamic by virtue of:

- The enormous variability of events
- The circumstances surrounding the event
- The information available at the time a decision was made in an evolving event
- The availability of adequately trained staff, medical supplies and equipment
- The locations and structures in which to provide care, etc.

Implementation of the MSDH CSC would entail following federal guidance by meeting the following conditions:

- Identification of critically limited resources and infrastructure
- Surge capacity fully employed within healthcare facility
- Maximal attempts at conservation, reuse, adaptation, and substitution performed
- Regional, state, and federal resource allocation insufficient to meet demand
- Patient transfer or resource importation not possible or will occur too late to consider bridging therapies
- Request for necessary resources made to local and regional health officials
- Declared state of emergency (or in process)

### **Ethical Framework**

The Mississippi State Department of Health (MSDH) will follow the ethical framework recommended by the Institute of Medicine in 2009 to address the issue of crisis standards of care. One document utilized in development of this information is, Guidance for Establishing Crisis Standards of Care for Use in Disaster Situations: A Letter Report, pages 1-23. This document was a summary report of four National Regional meetings. The participants consisted of policy makers from state and local public health departments, local and state government representatives, providers from the healthcare community including relevant medical disciplines, nursing, emergency medical services, palliative care, hospice, home health and their associated employee unions, and healthcare and hospital administrators. There were several recommendations:

- Develop consistent state crisis standards of care protocols
- Seek community and provider engagement
- Adhere to ethical norms during crisis standards of care (CSC)
- Provide necessary legal protections for healthcare practitioners and institutions implementing CSC
- Ensure consistency in CSC implementation
- Ensure intrastate and interstate consistency among neighboring jurisdictions

### Ethical features of the MSDH CSC will consist of:

- Fairness
- Duty to Care
- Duty to steward resources
- Transparency
- Consistency
- Proportionality
- Accountability

"Note: Utilizing a crisis standard of care may not be optional, as it could be a forced choice based on the emerging situation. Under such circumstances, failing to make substantive adjustments to healthcare operations, this is; not to adopt crisis standards can result in increased morbidity and mortality." (Hospital Preparedness Program, Capability 10).

### **Mass Casualty Event**

The Mississippi State Department of Health (MSDH) Crisis Standards of Care (CSC) guidance is being developed to assist in developing plans, policies and processes, and to present healthcare providers and facilities with approaches and strategies for providing the most optimal and appropriate standards of care possible during a mass casualty event (MCE) and provide information on the circumstances communities likely would face as a result of an MCE. The MSDH CSC will include:

- Key constructs, principles, and structures to be incorporated into the planning for an MCE.
- Approaches and strategies that could be used to provide the most appropriate standards of care possible under the circumstances.
- Examples of tools and resources available to help states and communities in their planning process.
- Illustrative examples of how certain health systems, communities, or states have approached certain issues as part of their MCE-related planning efforts.

### **LEGAL AUTHORITY**

Legal Authority resides in the MSDH to develop plans, policies, and procedures to protect the public health during events that would require public health or medical surge capabilities.

The MSDH is charged with providing guidance and protocols on CSC in order to enable a substantial change in routine healthcare operations including delivery of the optimal level of patient care for a pervasive (e.g., pandemic influenza) or catastrophic (e.g., earthquake, hurricane) disaster. The need for crisis standards is justified by specific circumstances and may or may not be triggered by a formal declaration of emergency, disaster, or public health emergency (with input from locals, Healthcare Coalitions, and regional authorities), in recognition that crisis operations will be in effect for a sustained period.

The MSDH CSC planning documents are not intended to reflect the MSDH official policy but to provide healthcare providers and healthcare facilities with options to consider when planning their response to an event in which the decision to allocate scarce resources in a manner that is different from usual circumstances but appropriate to the situation.

# **ACRONYMS**

CSC Crisis Standards of Care MCE Mass Casualty Event

MSDH Mississippi State Department of Health

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